Abstract

This article discusses how the United States Constitution Article V Convention can be utilized to amend the constitution. Considering the intense political climate and frequent stagnation in Congress, the Article V convention is an avenue for the fifty U.S. states to spur legislative action without the cooperation of the U.S. Congress. This paper explores whether an Article V Constitutional Convention could be utilized to start the process to identify and unify critical legislative initiatives amidst the current political climate. Part II explains the function, process, and historical usage of Article V. Part III discusses case law developments interpreting Article V. Part IV examines individual state constitutional conventions and their efficacy. Part V discuss the arguments for and against an Article V Constitutional Convention.

Keywords: constitutional convention; article V; constitutional reform procedure; constitutional amendment; United States.

Resumo

Este artigo discute como a Convenção do Artigo V da Constituição dos Estados Unidos pode ser utilizada para emendar a Constituição. Considerando o clima político intenso e a frequente estagnação no Congresso, a Convenção do Artigo V é um caminho para os cinquenta Estados dos EUA estimularem ações legislativas sem a colaboração do Congresso. Este artigo explora se uma Convenção Constitucional do Artigo V poderia ser utilizada para iniciar o processo para identificar e unificar iniciativas legislativas críticas em meio ao atual clima político. A Parte II explica a função, o processo e o uso histórico do Artigo V. A Parte III discute os desenvolvimentos da jurisprudência que interpretam o Artigo V. A Parte IV examina individualmente convenções constitucionais estaduais e sua eficácia. A Parte V discute os argumentos a favor e contra a Convenção Constitucional do Artigo V.

Palavras-chave: convenção constitucional; artigo V; procedimento de reforma constitucional; emenda constitucional; Estados Unidos.
1. INTRODUCTION

The United States Constitution Article V Convention is an untapped pathway to amend the constitution that has yet to be deployed. Considering the intense political climate and frequent stagnation in Congress, the Article V convention may be a vehicle for the fifty U.S. states to spur legislative action without the cooperation of the U.S. Congress. However, an Article V Convention still relies on the participation of individual states, which may be a daunting task considering the polarization of American politics.

This paper explores whether an Article V Constitutional Convention could be utilized to start the process to identify and unify critical legislative initiatives amidst the current political climate. Part II explains the function, process, and historical usage of Article V. Part III discusses case law developments interpreting Article V. Part IV examines individual state constitutional conventions and their efficacy. Part V discusses the arguments for and against an Article V Constitutional Convention.

2. WHAT IS THE ARTICLE V CONSTITUTIONAL CONVENTION?

Article V of the United States Constitution provides two avenues, each with two steps, to amend the Constitution. Article V states that:

The Congress, whenever two thirds of both Houses shall deem it necessary, shall propose Amendments to this Constitution, or, on the Application of the Legislatures of two thirds of the several States, shall call a Convention for proposing Amendments, which, in either Case, shall be valid to all Intents and Purposes, as Part of this Constitution.

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4 For further discussion of Article V, see infra notes 8 - 37 and accompanying text.
5 For further discussion of case law on Article V, see infra notes 38 – 44 and accompanying text.
6 For further discussion of state constitutional conventions, see infra notes 45 – 58 and accompanying text.
7 For further discussion of the argument surrounding Article V, see infra notes 59 – 77 and accompanying text.
8 See supra notes 9 – 17 and accompanying text.
Constitution, when ratified by the Legislatures of three fourths of the several States, or by Conventions in three fourths thereof, as the one or the other Mode of Ratification may be proposed by the Congress; Provided that no Amendment which may be made prior to the Year One thousand eight hundred and eight shall in any Manner affect the first and fourth Clauses in the Ninth Section of the first Article; and that no State, without its Consent, shall be deprived of its equal Suffrage in the Senate.9

By way of the first avenue, Congress can propose an amendment by a vote of two-thirds of the Senate and of the House of Representatives.10 The amendment is then sent to the states to determine whether to ratify the amendment.11 If three-fourths of the states ratify the amendment, it is added to the Constitution.12 Thirty-three amendments have been proposed through this method, and twenty-seven have been ratified and added to the Constitution.13 Aside from the Twenty-Seventh Amendment, no amendments have been added to the Constitution since 1971.14

The states can circumnavigate Congress through the second avenue if two-thirds of state legislatures request Congress to call an Article V Constitutional convention to propose Constitutional amendments.15 If such a request is successfully made, the states must set the agenda for the convention and Congress must hold a convention limited to that agenda.16 Proposed amendments are then sent to the states for the same ratification process as if they were submitted by Congress.17

The Framers’ intent behind Article V appears to have been to balance out the power of the federal government and allow the states to collectively act if Congress did not.18 Further, the Article V convention was intended to give more direct power to the people through their state legislatures.19 The Article V convention option was

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9 U.S. Const. art. V.
10 U.S. Const. art. V.
11 U.S. Const. art. V.
added after George Mason commented that Congress would be unlikely to propose an amendment that would limit its own power, thus Article V was aimed to curtail Congress’s power and provide more direct power to individual citizens through their state constitutional convention representatives.20

Furthermore, the Framers intended that it would not be excessively difficult to call an Article V convention.21 Under the Articles of Confederation, every state needed to ratify proposed amendments, thus Article V aimed to make it easier for the people to change the Constitution.22

The Article V convention circumnavigates Congress and empowers people with the ability to amend the constitution, because “[a]lthough a convention is summoned by the Legislature, it derives its power from the sovereign people,”23 James Madison remarked in The Federalist Papers that “a constitutional road to the decision of the people, ought to be marked out, and kept open, for certain great and extraordinary occasions.”24

The Article V convention has not been effectively utilized to date.25 743 requests for Article V conventions have been made over time, most of which occurred in the 1900s.26 Every state has petitioned for an Article V convention at some point.27 Three notable examples are the campaigns for the direct election of senators by states, reapportionment of state legislatures, and a balanced budget requirement.28 The direct election effort failed around the turn of the 20th century, but ultimately led to the

23 In re Opinion of the Justices, 132 Me. 491, 167 A. 176, 179 (1933)
Seventeenth Amendment, therefore providing a good example of the “prodding effect,”
where the effort from states is sufficient to prod Congress to act in a given area.29

The apportionment initiative gained the support of thirty-three states in the
1950s; however, some states withdrew their applications over time.30 An initiative for
a federal budget amendment came close to an Article V convention with the support
of thirty-two of the requisite thirty-eight states.31 Similarly to the direct election cam-
paign, the federal budget initiative prodded Congress to enact the Gramm-Rudman
-Hollings Balanced Budget and Emergency Deficit Control Act of 1985, which required
a balanced budget by 1993.32 After the budget amendment effort, Article V convention
interest waned until recent years.33

Efforts for a balanced budget amendment continue, with twenty seven state
applications as of 2015.34 In 2010, the Tea Party gained traction surrounding the Repeal
Amendment, which would allow for the repeal of any federal law if the legislatures of
two-thirds of the states agreed. The Repeal Amendment gained the support of twelve
states.35 According to a Harris Interactive survey in 2005, more than sixty-five percent
of the population supports seven theoretical amendments where Congress has not ac-
ted.36 As of 2011, thirty-three states were applying for a general Article V constitutional
convention.37

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3. **CASE LAW ON ARTICLE V**

Amendments to the Constitution are primarily a legislative function. The president has no official role in the Article V amendment process. In Hollingsworth v. Virginia, the Supreme Court held that constitutional amendments should not be presented to the president for signature and the president has no veto power over a constitutional amendment because of the lack of role set out in Article V.

The Constitution does not set any deadlines for ratification of proposed amendments. In Dillon v. Gloss, the Supreme Court held that Congress has the power to impose a deadline for ratification, stating that:

We do not find anything in the article which suggests that an amendment, once proposed, is to be open to ratification for all time, or that ratification in some of the states may be separated from that in others by many years and yet be effective. We do find that which strongly suggests the contrary. First, proposal and ratification are not treated as unrelated acts, but as succeeding steps in a single endeavor, the natural inference being that they are not to be widely separated in time. Secondly, it is only when there is deemed to be a necessity therefore that amendments are to be proposed, the reasonable implication being that, when proposed, they are to be considered and disposed of presently. Thirdly, as ratification is but the expression of the approbation of the people, and is to be effective when had in three-fourths of the states, there is a fair implication that it must be sufficiently contemporaneous in that number of states to reflect the will of the people in all sections at relatively the same period, which, of course, ratification scattered through a long series of years would not do.

Congress has since imposed a seven-year deadline for ratification of amendments. This can prove challenging for an Article V convention because of the time-consuming process of organizing movements and state legislatures in coordination with other states.

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41 U.S. Const. art. V
4. STATE CONSTITUTIONAL CONVENTIONS

State constitutional conventions can provide a model for the way a potential Article V convention should or could function. Every state has amended its constitution, and the states collectively have amended their constitutions 7,481 times. All of the states allow their state legislatures to call constitutional conventions, and fourteen states provide for the people to periodically decide by referendum whether to call a constitutional convention. For instance, Florida holds a commission every twenty years to determine whether to amend their constitution. Fifteen states have held constitutional conventions since 1965.

Additionally, New York will have a referendum on the ballot this November to determine whether to hold a constitutional convention. This option is available to New Yorkers every twenty years; however, it has not been exercised for the past eighty years. Many believe that voters will decide to call for a convention this year based on motivations surrounding the current President and federal administration. Groups campaigning for a constitutional convention champion causes such as campaign finance reform, redistricting, term limits, marijuana legalization, the judiciary structure, and home rule for counties and municipalities.

The process for a New York constitutional convention commences when a majority of voters elect to do so. As a result, electors from every senate district elect three delegates to send to the convention, and electors voting at the same election elect fifteen delegates-at-large. Elected delegates would convene for the convention

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54 See infra notes 9 – 17 and accompanying text.
55 Article XIX, Section 2, New York Constitution
in April 2018. The convention has the power to appoint officers and set the rules of proceedings. Amendments are approved by a majority of delegates, and approved amendments are submitted to a vote of electors six weeks after the convention.

5. SUPPORT AND OPPOSITION FOR CONSTITUTIONAL CONVENTION

Momentum has been building surrounding recent attempts to call an Article V convention. Current dissolution and polarization of U.S. citizens has emerged due to the political climate. Consequently, the intended use of Article V at this time would correspond with the Framers’ intent of giving more direct power to the people. Individuals’ trust and confidence in the government has reached a low point, and as of 2016, only 42 percent of citizens trust the country’s political leaders.

The 2016 presidential election was composed of poorly rated candidates, and many attribute Donald Trump’s success in the election to voter dissatisfaction with the government and politicians. Trump’s presidency is extraordinary, given the factor that not since the 1928 election of Herbert Hoover has the U.S. had a president that did not have either a political or military background. Moreover, Americans trust in Washington is at historical lows, with more than eighty percent of the population believing there is little to no trust that the government in D.C. is capable of doing what is right.

Considering the election results, an Article V convention could be a means of restoring federalism where the President and Congress do not impose constitutional limits. Additionally, polarization between political parties is growing over time,
resulting in deadlocks in government. President Obama began to use executive orders to implement policy amidst Congress’s failure to act.

The wide use and popularity of the internet and social media also make now the opportune time for an Article V Convention because initiatives for amendments can be organized and executed much broader and faster than the prior attempts in the 1960s-1980s.

However, many arguments against an Article V Constitution exist. For instance, many scholars agree that the risk of a runaway convention is the most notable problem with a potential Article V constitutional convention. The possibility of a “runaway convention,” or a convention where adverse amendments are adopted, is also cited as a primary concern with an Article V convention.

Similarly, some argue that an Article V convention could result in replacing the entire constitution. However, this risk is curtailed several ways. First, an Article V convention can be limited by issue. Second, the ratification process provides a safety net in case delegates propose undesirable amendments.

The brief text of Article V leaves several questions open, such as how convention members are chosen, how convention rules are adopted, and Congress’s power to create an alternative ratification procedure. Nevertheless, the Supreme Court has provided some clarity on the process, and Congress can make further rules as necessary.


73 See supra notes 74 – 75 and accompanying text.


6. CONCLUSION

In conclusion, the political climate, polarization of political parties, discontentment of the 2016 Presidential election and widespread distrust of government warrants use of the Article V convention as intended by the Constitutional framers. The question remains: has history brought the United States to an "extraordinary occasion" as envisioned by James Madison and the other framers to finally implement an Article V Constitutional convention? An Article V convention may be the path to quell the political discord and congressional inaction and empower U.S. citizens and states to circumnavigate Congress or at the very least "prod" it into legislative action. However, as awe-inspiring as the idea may be to actually curtail Congress's power and provide citizens and individuals with some control of the legislative agenda, the prodigious task of a Constitutional convention requires the active participation of individual states and citizens, given the division in American politics, such a task may be possible but formidable.

7. REFERENCES


78 See infra notes 59-68 and accompanying text.

79 See infra note 21 and accompanying text.


81 See infra note 18 and accompanying text.


